A GEODATABASE OF HISTORICAL AND CONTEMPORARY OCEANOGRAPHIC DATASETS FOR INVESTIGATING THE ROLE OF THE PHYSICAL ENVIRONMENT IN SHAPING PATTERNS OF SEABED BIODIVERSITY IN THE GULF OF MAINE


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A Geodatabase of Historical and Contemporary Oceanographic Datasets for Investigating the Role of the Physical Environment in Shaping Patterns of Seabed Biodiversity in the Gulf of Maine

by

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ABSTRACT


The management and conservation of the marine environment and marine resources increasingly requires the synthesis of spatial data from a range of physical and biological features across a variety of scales. The work phase of compiling these data layers for a particular project can be time intensive and costly. However, once these datasets are compiled and processed to generate continuous spatial layers, the preservation of these data in a common georeferenced format can facilitate their use in future work; in particular for spatial planning, decision making and ecosystem-based management. A comprehensive suite of physical, chemical and biological layers (30 layers) for the Gulf of Maine area have been compiled within a single geodatabase, and in xyz format, using publicly-available U.S. and Canadian oceanographic data sources. The primary driver for this effort was the Census of Marine Life’s cross-project that involved three regions (Great Barrier Reef, Gulf of Mexico and Gulf of Maine) who investigated the role of physical variables in predicting patterns of biodiversity in seabed assemblages. In this report we provide background methods (including formal metadata), issues faced in compiling geospatial resources, and caveats for subsequent usage for those datasets used in the Gulf of Maine portion of the Census project.

RÉSUMÉ


La gestion et la conservation du milieu marin et des ressources marines exigent de plus en plus que l’on procède à une synthèse des données spatiales concernant plusieurs caractéristiques physiques et biologiques à diverses échelles. L’étape de travail consistant à compiler toutes ces couches de données peut être chronophage et coûteuse. Cependant, une fois les données compilées et traitées de manière à générer des couches spatiales continues, la conservation de ces données sous une forme géoréférencée commune peut en faciliter l’utilisation dans des travaux futurs, particulièrement pour ce qui est de la planification spatiale, de la prise de décision et de la gestion écosystémique. On a compilé une série complète de couches de données physiques, chimiques et biologiques (30 couches) sur la région du golfe du Maine en une seule base de données géographiques, en format xyz, en utilisant des sources de données océanographiques des États-Unis et du Canada accessibles au public. Le principal facteur motivant cet effort était le projet conjoint du Census of Marine Life [inventaire de la vie marine] avec trois autres régions (le récif de la Grande Barrière, le golfe du Mexique et le golfe du Maine), projet qui avait pour objectif d’examiner le rôle des variables physiques aux fins de la prévision du profil de la biodiversité des assemblages du fond océanique. Dans le présent rapport, nous présentons la méthodologie contextuelle (notamment les métadonnées officielles), les problèmes rencontrés lors de la compilation de ces ressources géospatiales et les mises en garde relativement à une utilisation ultérieure.
INTRODUCTION

The management and conservation of the marine environment and marine resources increasingly requires the synthesis of spatial data from a range of physical and biological features across a variety of scales. The work phase of compiling these data layers for a particular project can be time intensive and costly. However, once these datasets are compiled and processed to generate continuous spatial layers, the preservation of these data in a common georeferenced format can facilitate their use in future work; in particular for spatial planning, decision making and ecosystem-based management (Fisher and Rahel 2004, Gee 2007, Wood and Dragicevic 2007). In conducting a recent investigation on the role of physical environmental variables in predicting biodiversity composition of benthic and demersal fish and invertebrate assemblages in the Gulf of Maine, we compiled 31 oceanographic layers and seabed characteristics. These layers were then preserved in xyz format and as raster grids in an Environmental Systems Research Institute (ESRI™) file geodatabase. In this report we provide background methods (including formal metadata), issues faced in compiling these geospatial resources, and caveats for subsequent usage.

CoML Cross-Project Synthesis: Physical Surrogates for Predicting Seabed Biodiversity

In 2000 the International Census of Marine Life (CoML) began a global effort to assess and explain the diversity, distribution and abundance of marine life. This marine research initiative included 14 “field projects” covering different marine regions, habitats and functional groups of organisms within the global ocean (e.g. the Abyssal Plains, Coral Reefs, Zooplankton etc; www.coml.org). One of these field projects, the Gulf of Maine Area program (GOMA) was selected as the CoML’s Regional Ecosystem project and focuses on the biodiversity of marine life in the Gulf of Maine (GOM [grey area, Figure 1]). The GOM is located on the eastern North American continental shelf between 47° and 39°N latitude and covers approximately 250K km² (see Incze et al. (2010) for an overview of the region and its marine biodiversity).

One GOMA initiative has been to participate in a cross-project synthesis with two other regions, the Great Barrier Reef and Gulf of Mexico, to characterize how physical factors affect species distribution and abundance patterns in contrasting ecosystems. The distribution and abundance of marine species and assemblages has been of fundamental interest to science and of considerable importance to management and conservation. For most marine species, such information is severely lacking, partly due to the great expense and time required for ship-based biological surveys. To deal with this problem, methods of generalization are required. Since many benthic organisms are strongly associated with specific habitat characteristics, the CoML project focused on the use of physical environmental variables (e.g. substrate, benthic temperature, nutrient concentrations) to predict the spatial pattern in seabed assemblages. The analysis required the compilation of numerous oceanographic datasets from the GOM. These data were determined to also be important for ecosystem-based studies in general, including those underway with an Ecosystem Research Initiative (ERI; http://www.dfo-mpo.gc.ca/science/publications/fiveyear-plan-quinquennial/index-eng.html#a3_2) being conducted by Fisheries and Oceans Canada (DFO). Therefore, as a prerequisite to the statistical analyses for the seabed diversity project, oceanographic datasets compiled for two major time periods corresponding to the years the biological data were sampled ([1956–1968] and [1996–2007]) were preserved as a collection. These physical datasets, from numerous data sources,
were processed to derive continuous layers for the GOM study area. Biological datasets were assembled from several publicly-available databases as well. However, in this report we only provide information on data processing steps and provide links to the authoritative database providers for each oceanographic dataset. The benthic biological datasets are not included in the compilation as it is anticipated that future studies would proceed by accessing the most up-to-date data directly from these biological databases.

METHODS

CoML Cross-Project Methods

All three regions involved in this project collated broad-scale biological survey datasets comprised of site-by-species abundance data collected from trawls, benthic sleds, and grabs/cores (Figure 1), as well as site-by-physical datasets comprised of available physical variables.

For the GOMA project three large benthic datasets were accessed. These data were originally acquired by federal agencies in Canada (Fisheries and Oceans Canada (DFO)) and the United States (Northeast Fisheries Science Center (NEFSC), National Marine Fisheries Service (NMFS), National Oceanographic and Atmospheric Administration (NOAA)). These datasets represent the three most extensive benthic datasets in the GOM region: the NEFSC Bottom Trawl Surveys, DFO Ecosystem Surveys, and the NEFSC Benthic Database (Smith-McIntyre Grab samples). Each dataset was filtered to only include taxa identified to genus or species.

Oceanographic habitat variables for the time period of each biological dataset were collected and included: bathymetry and derivatives, seabed current stress, sediment characteristics, benthic irradiance, nutrients, temperature, salinity and chlorophyll. The oceanographic habitat variables along with the biological data were analyzed using Gradient Forest (a modified version of a Random Forests analysis (Breiman 2001)) to identify important environmental variables influencing the distribution and abundance of benthic species in the GOM. A more complete summary of the Gradient Forest statistical methods is provided by Ellis et al. (2010 In Prep).

Source Biological Datasets

NOAA Benthic Database Smith-McIntyre Grab Samples

The NOAA Benthic Database was accessed prior to its release to the Ocean Biogeographic Information System (OBIS, http://www.iobis.org/), although, by this time virtually all quality control measures had been completed. Only Smith-McIntyre Grab samples were selected that occurred between 1956–1968, inclusive, in the GOM Area (Theroux and Wigley 1998). This time period was selected to include the majority of the data collected from Smith-McIntyre Grab samples, collected between 1956–1985 (Figure 2). The Smith-McIntyre spring-loaded grab sampled 0.1 m² of bottom area and had a volume of approximately 15 L. Sampling primarily took place during summer months with the majority of collections made in July and August. Samples (n = 618) were distributed across all years, 1956–1968, although 85% were collected from 1957–1960 (Figure 2). Analyses included 315 species from 478 stations that coincided with all the physical variables, including between 1 and 25 replicates per location. The main taxa in this dataset included Arthropoda (177) and Mollusca (162), although annelids,
echinoderms, bryozoans, sipunculids, cnidarians, hemichordates and baccilariophytans were also present with the number of taxa ranging from 1 to 28. The geographic distribution of samples in the Gulf of Maine excluded the western Scotian Shelf and the Bay of Fundy (Figure 1).

**Figure 1.** Distribution of biological samples in the Gulf of Maine (grey shaded area denotes the geographic region of interest for CoML’s GOMA program) (a) Smith-McIntyre Grab Samples collected by Theroux and Wigley (1998) between 1956-1968 (b) DFO Winter and Summer Ecosystem Surveys stations from 2000-2007 (c) NMFS Benthic Trawl Surveys from 1997-2007.

**Figure 2.** Number of Smith-McIntyre grab samples collected per year (Theroux and Wigley 1998).
Data collected during the DFO Ecosystem Surveys were exported from the DFO Groundfish database for trawls conducted on the Scotia Shelf, in the Bay of Fundy or on Georges Bank from 1996–2007, inclusive. The DFO Annual Ecosystem Survey samples demersal fish and has increasingly been recording data on invertebrate species. The Scotian Shelf surveys were first implemented in 1970 and occur in the summer (July) while the Georges Bank surveys began in 1986 and take place in Feb–March (Clark 2010).

The survey uses a stratified random sampling design where stratification is primarily based on depth, and the allocation of the number of stations per stratum is proportional to the variance in catch of haddock (Clark, 2010). The Scotian Shelf summer survey contains 48 strata (Figure 3) distributed among four depth zones (< 92 m, 93 – 183 m, > 184 m, and mixed) to a maximum of 731 m along the shelf edge (Frank 2004). The Georges Bank spring survey is stratified among 3 depth zones (< 93 m, 93 – 182, and mixed). The same trawl gear, the Western IIA, was used for surveys during the 1996 to 2007 period. However, irregularities in the rigging of the trawl in the 2004 summer survey made direct comparisons of catch for some species questionable and this survey was excluded from the analysis (Clark 2010). The trawl gear uses a small mesh codend liner (19mm) capable of retaining forage and small, non-commercial species. At each sampling station within each stratum, a standard bottom tow defined to be a 30 minute haul on bottom at 3.5 knots was conducted. This results in an area swept of 0.0404 km² for a standard tow (Shackell and Frank 2003). More details on the surveys can be found in Chadwick et al. (2007).

The original data were exported from a dataset published on the Ocean Biogeographic Information System, OBIS (Clark and Branton 2007) for the period from 1996–2007, and included 1032 trawls on the Scotian Shelf and 1299 trawls on Georges Bank (Figure 2). The spring survey included 112 fish species comprised of 99 genera, and 49 invertebrate species comprised of 49 genera.

The most frequent species in the Scotian Shelf summer survey dataset included: *Melanogrammus aeglefinus* (Haddock), *Clupea harengus* (Herring), *Merluccius bilinearis* (Silver Hake), *Squalus acanthias* (Spiny Dogfish), *Illex illecebrosus* (Short-finned Squid), *Myoxocephalus octodecemspinosus* (Longhorn Sculpin), *Pseudopleuronectes americanus* (Winter Flounder), *Pollachius virens* (Pollack), *Placopecten magellanicus* (Sea Scallop), and *Limanda ferruginea* (Yellowtail Flounder).

In the Georges Bank spring survey dataset the most frequent species included: *Melanogrammus aeglefinus* (Haddock), *Clupea harengus* (Herring), *Myoxocephalus octodecemspinosus* (Longhorn Sculpin), *Limanda ferruginea* (Yellowtail Flounder), *Merluccius bilinearis* (Silver Hake), *Scomber scombrus* (Atlantic Mackerel), *Illex illecebrosus* (Short-finned Squid), *Leucoraja ocellata* (Winter Skate), *Gadus morhua* (Cod), and *Leucoraja ocellata* (Winter Skate).

With both datasets analyses were performed with and without data collected before 2000. These data were excluded as some shrimps, crabs and scallops were only recorded since 1999 (Tremblay et al. 2007, Clark 2010). For the Scotian Shelf summer survey invertebrates included in the analysis were: *Cancer borealis, Cancer irroratus, Chaceon quinquedens, Chionocetes opilio, Homarus americanus, Hyas araneus, Hyas coarctatus, Lithodes maja, Pandalus borealis, Pandalus montagui, Illex illecebrosus and Placopecten magellanicus*. Abundances of *Pandalus borealis* and *Pandalus montagui* were determined by dividing by the average species weight as only the weight was reliably measured. For the Georges Bank spring...
survey dataset the invertebrate taxa included in the analysis were only *Homarus americanus* and *Illex illecebrosus*.

The final analysis only included taxa that were recorded in > 5% of samples. Therefore, rare species were not included in the analysis—a more detailed description of the reasoning and implications of this decision be found in Ellis *et al.* (2010 In Prep). Samples were also removed that did not have corresponding physical data in any one of the physical variables. The Random Forest analyses included 81 species in the late winter-early spring survey, and 95 species in the summer survey.

**NEFSC Bottom Trawl Survey**

NEFSC of NMFS conducts trawl surveys in the GOM in both the fall and spring using a stratified random sampling design. The fall surveys began in 1963 and sample depths from 27 to 365 m (Despres-Patanjo *et al.* 1988). The spring survey series began in 1968. In 1972 the geographic coverage of the surveys were extended to inshore areas landward of the 27 m isobath. The stratified random sampling assures a fairly uniform distribution of stations throughout the survey areas with an average allocation per seasonal survey of 350 stations. Strata are delineated by depth (Figure 3). Stations were allocated to strata in proportion to strata area and were randomly assigned to specific locations within strata (Azarovitz 1981). The Yankee 36 Bottom Trawl used for the survey sweeps 0.0334 km² during a standard 30 min tow at 3.8 knots, which is slightly less than the area swept in the DFO Ecosystem survey.

Data from the fall and spring surveys were exported (and treated separately) for the 1996–2007 time period. This included 2001 tows that were done in the fall (average of 164 per year, min. 152, max. 192) and 1975 tows that were done in spring (average of 165 per year, min 156, max 182). The fall surveys generally take place in October (1643 tows) but occasionally occurs in September (226 tows) and December (132 tows), while the spring survey usually takes place in April (1723 tows) with occasional tows in March (238 tows) and May (14 tows). The data used are available as an OBIS dataset (NOAA's National Marine Fisheries Service Northeast Fisheries Science Center 2005), that was clipped to the GOM area and filtered to only include taxa identified to genus or species. Since the inception of these surveys in 1963, species identifications have increased to include more invertebrate data, and our selection of survey data from 1996 onwards was in part due to the species identifications for fish (fall: 146 species, 124 genera; spring: 98 species, 88 genera) having reaching 100%. Invertebrates in the dataset included 33 species and 29 genera in the fall and 26 species and 23 genera in the spring. Data on invertebrate species has increasingly been collected and recorded for this survey. Data from the fall and spring surveys were treated separately for the 1996–2007 time period. Samples were also removed that did not overlap with corresponding physical data in any one of the physical variables.
Figure 3. (a) The DFO Ecosystem Survey strata for Georges Bank and the Scotian Shelf in the GOM area (grey area). Labels indicate strata names (b) The NMFS Bottom Trawl Survey strata in the GOM area. Labels indicate strata names.
Selection of Time Periods

Time periods of the biological and corresponding physical data were selected based on those years that had abundant data available for each of the biological datasets selected. The Smith-McIntyre grab samples included data from 1956–1985, however most data was collected from 1956–1968 and therefore only those years were included in the analyses. Corresponding physical data for the 1956-1968 time period was largely present, as most time series datasets for oceanographic variables began in the 1930s; excluding silicate which only began being recorded in 1961. Satellite information was only available for the contemporary time period as the AVHRR and SeaWifs satellites only began collecting data in the early to mid-1990s. However, it was decided to use the contemporary satellite for the 1956–1968 time period as no other data were available. It was also determined that the 1956–1968 time period did not have enough nitrate and silicate data to warrant creating layers.

The time periods selected for the DFO Ecosystem Surveys and the NEFSC Bottom Trawl Surveys datasets were to be from 1996–2007; however, it was decided to run analyses not including years where invertebrates had not been rigorously recorded in the DFO Ecosystem Surveys (before the year 2000). Oceanographic datasets were largely available for this time-period, although satellite sea surface temperate and chlorophyll only began to be sampled in 1997, and dissolved oxygen was not available for this period from the GOM Region Nutrient and Hydrographic database.

Geodatabase Creation and Data Access

The physical environmental variables were preserved in an ESRI (Environmental Systems Research Institute, Redlands California) file geodatabase that can be accessed by contacting Michelle Greenlaw (St. Andrews Biological Station, michelle.greenlaw@dfo-mpo.gc.ca). A geodatabase is a database designed to store, query and manipulate geographic information and spatial data. All data are stored in raster format (coordinate system: World Geodetic System of 1984, Universal Transverse Mercator Zone 19 [WGS84 UTM Zone 19]). Each layer also has an associated metadata file which includes the data source, description, purpose, supplementary information and contact information for the layer. Metadata can be accessed by opening the layer in ESRI’s ArcCatalog and using the Metadata tab (Figure 4).
Figure 4. A view of ESRI®’s ArcCatalog showing the geodatabase in the left panel, expanded to show each physical layer. The right panel is a view of the metadata for the benthic temperature layer (1956–1968).

XYZ Text File Creation and Data Access

Physical environmental variables were also exported in xyz format (three column format including latitude, longitude then physical variable) that can be accessed by contacting Michelle Greenlaw (St. Andrews Biological Station, michelle.greenlaw@dfo-mpo.gc.ca). This format is easily imported by all Geographic Information Systems (GIS) software and is more accessible to certain non-GIS software programs. The files are in the World Geodetic System of 1984 (WGS84) coordinate system. The xyz files do not have associated metadata therefore this report or the geodatabase will serve as metadata for those files.
Interpolation Methods

Optimal Estimation

The program OAX version 5.1 was used to interpolate continuous data layers for a number of point-based oceanographic datasets. This program, developed in the early 1990’s at DFO (He et al. 2003), applies the method of optimal interpolation to estimate the values of variables at specified points in space and time. Each interpolated point is calculated using a nearest neighbour algorithm, where the weighted average is taken for a specified number of data points that are closest to the interpolated point. Unlike many other interpolation techniques, OAX allows the user to interpolate in four dimensions: longitude (x), latitude (y), depth (z), and time (t).

To run OAX, the program and three additional files are required; a grid, deck, and data file. The grid file is a text file containing a list of grid points. Each point is where an estimated data value will be calculated. Optimally estimated benthic data layers were created using a grid file derived from the United States Geological Survey (USGS) North American 15 arc-second Digital Elevation Model clipped to the GOM extent (Roworth and Signell 1998). This grid was resampled to coarser resolutions when required due to the spatial distribution of sample coverage of the oceanographic datasets.

The deck file is a text file that specifies the dependent variable to be estimated, the independent variables (i.e. x, y, z, t), the data file to be interpolated, the grid file, the number of nearest neighbour data points to use, the global scales, and the statistical model to be used. The global scales are the overall scales for the independent variables that are used to weight the independent variables and define a distance calculation. This distance enables the selection of the closest number of nearest neighbours from which a value will be interpolated for each grid point. The number of nearest neighbours was a function of the spread of the data points for each interpolated layer. For all runs of OAX, the statistical model was the estimated mean.

The data file is a text file that contains the dataset to be interpolated. All data in both the grid and data files must be in a Cartesian coordinate system. Data was reprojected from the geographic coordinate systems NAD 83 or WGS 84 to the projected coordinate system WGS 84 UTM Zone 19. This resulted in equivalent units (meters) in all spatial directions (x, y, and z); which is a requirement for OAX to perform its mathematical calculations.

To derive seasonal data layers, input data were restricted to correspond to the following day-of-year limits:

<table>
<thead>
<tr>
<th>Season</th>
<th>Day-of-Year Limits</th>
</tr>
</thead>
<tbody>
<tr>
<td>Winter</td>
<td>1–90</td>
</tr>
<tr>
<td>Spring</td>
<td>91–181</td>
</tr>
<tr>
<td>Summer</td>
<td>182–273</td>
</tr>
<tr>
<td>Fall</td>
<td>274–365</td>
</tr>
</tbody>
</table>

The code for OAX 5.1 was compiled for windows and can be run through the MS-DOS command window. Additional information and documentation on OAX can be found online at http://www2.mar.dfo-mpo.gc.ca/science/ocean/coastal_hydrodynamics/Oax/oax.html.
Spline Interpolation

The ESRI ArcGIS Spatial Analyst extension was used for spline interpolations of substrate and stratification samples as these layers did not require interpolation in 4 dimensions (x, y, z and t). Parameters for each specific interpolation are listed in the description of the physical environmental dataset. Spline interpolation is a method that estimates values using a mathematical function that minimizes overall surface curvature, resulting in a smooth surface that passes exactly through the input point. Spline was chosen as the interpolation method to ensure the fitted surface passes through the input points, as many of the substrate and stratification points provided were taken at the same time as the biological samples. This method would ensure the accuracy of the estimate at those points. At the points where an actual sample of substrate or stratification was not available, the spline method has an average accuracy in comparison with other interpolation methods. The average relative mean absolute error and relative root mean square error were 40% and 30% when tested, respectively (Li and Heap 2008).

Physical Oceanographic Datasets

Bathymetry and Derivatives

A USGS digital elevation model (Roworth and Signell 1998) at a resolution of 15 arc-seconds or 650 m (Figure 5), was used to intersect biological samples with bathymetry information and to calculate slope (maximum change in depth in the 8 surrounding grid cells, degrees), aspect (degrees from north), bathymetric position index (BPI, unitless), and benthic complexity (maximum change in slope in the 8 surrounding grid cells, degrees). The data unit of the bathymetry layer was in meters.

Substrate

Sediment values were extracted from the standing stock of USGS (Poppe et al. 2005) and Canadian Geological Survey (Geological Survey of Canada 2009) database records (US: 1955–2004, Can: 1964–2003). These were combined into one point layer of sediment samples. Fields in the data included percent sand, percent mud, and percent gravel from point samples (Figure 6). Point data were interpolated to a 6000 m resolution raster grid using the spline method. The spline parameters used were: Tense, 40 Weight, and a 3 point average. Resolution of the output layers was determined by the density of the original data.

Bottom Stress

Bottom stress (Figure 7) was calculated from the frictional velocity maps of Drozdowski and Hannah (2010), which captured the effect of waves and modeled tidal bottom currents. Their friction velocity calculation was done on a grid covering the GOM and Scotian Shelf and was the synthesis of 3 earlier data products: (1) the high resolution bathymetry data (0.25 min for the GOM and 0.4 min for the Scotian Shelf), (2) 42 year hindcast of the wave height and period data (Swail and Cox 2000), and (3) the near-bottom tidal currents obtained from a combination of 3D model and 2D tidal model results of Hannah et al. (2001) and Han and Loder (2003). The final calculation of friction velocity was performed using the sediment transport model SEDTRANS96 (Li and Amos 2001). Results of the 90th percentile significant wave height and period were used, which have the interpretation of representing moderate to large wave events that occur 10% of the time (~one month per year).
Frictional velocity was converted to bottom stress using the formula (Condie and Webster 1997): \[\text{bottom stress} = (\text{Bottom frictional velocity})^2 \times \text{water density}\] where, water density = 1027.5 kg/m$^3$. The output resolution of the raster data layer was 952 m.

Bottom stress with only the influence of tides (Figure 7) was calculated using frictional velocity in m$^2$s$^{-2}$ exported from Gulf of Maine Ocean Observation System (GOMOOS) Nowcast Forecast System (Xue et al. 2005). Frictional velocity was multiplied by water density (1027.5) to calculate bottom stress. Bottom stress in the GOM is driven mostly by tidal flow therefore; the largest source of temporal variability is from the lunar cycle. A layer of bottom stress was calculated for each complete month (to capture lunar cycle) rather than a year or more since monthly data captures the majority of temporal and spatial variability in the GOM. The frictional velocity data received was calculated every 3 hours from August 1, 2008 to August 31, 2008 (248 time layers). After converting the vector data to scalar data, summary statistics were calculated for each model grid point as follows (n = 248): Mean; STD Dev; Min.; Max.; Range (Max-Min). These summary statistics were conserved in a GIS polygon layer. The data were then converted to a raster at a resolution of 3800 m.

Stratification

Stratification layers (Figures 8 and 9) were calculated using density point samples from the DFO Hydrographic (Climate) database (Gregory 2004) as the density difference between the surface (0 m) and 50 m (Helbig and Higdon 2009). Density values were exported at 0 and 50 m depth for the time periods 1956–1968 and 1996–2007 and from both January to December (yearly average layer) and May to September (summer average layer). Points were used only if they had a corresponding value at both 0 and 50 m depth. The resulting points were interpolated to 2500 m grids using the spline method with the parameters: Tense, 40 Weight, 3 point average.

Sampling effort and its monthly distribution varied between the two time periods. The 1956–1968 (Figure 8) time-period included 8654 more density samples than the 1996–2007 time-period (Figure 9). From 1956–1968, most samples were collected in August (14% of samples), while September had the least sampling effort (4.5% of samples). During this time period, 1968 was the most sampling intensive year, comprising 15% of all samples, while 1961 was the least sampling intensive year with 2% of all samples. For the 1996–2007 time period, April had the most samples (15% of samples), while December had the fewest (0.9% of samples). Most samples were collected in 1998 (13% of samples), while 2003 had the least number of samples (5% of samples). The resolution of the output layers was determined by the original data density.

Benthic Irradiance

SeaWiFS K490 data (Figure 10) were downloaded for the time–period of 1997–2008, from the NOAA OceanColor ftp download site (NASA 2007) at an 8000 m resolution. K490 indicates the turbidity of the water column; how visible light in the blue–green region of the spectrum penetrates within the water column. Monthly composites were used to calculate a value corresponding to the month each benthic sample was taken. These values were included in the formula below (as K490_monthN) used to calculate a seasonal benthic irradiance:
Benthic Irradiance = \cos \left( \frac{\text{LAT} - \text{offsetN}}{180 \times \pi} \right) \times \exp (K490\_monthN \times \text{Depth})

Where offsetN is the position of the sun for month N:

<table>
<thead>
<tr>
<th>Month</th>
<th>OffsetN</th>
</tr>
</thead>
<tbody>
<tr>
<td>January</td>
<td>-21.2</td>
</tr>
<tr>
<td>February</td>
<td>-12.2</td>
</tr>
<tr>
<td>March</td>
<td>0.0</td>
</tr>
<tr>
<td>April</td>
<td>12.3</td>
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<tr>
<td>May</td>
<td>21.2</td>
</tr>
<tr>
<td>June</td>
<td>24.5</td>
</tr>
<tr>
<td>July</td>
<td>21.2</td>
</tr>
<tr>
<td>August</td>
<td>12.2</td>
</tr>
<tr>
<td>September</td>
<td>0.0</td>
</tr>
<tr>
<td>October</td>
<td>-12.3</td>
</tr>
<tr>
<td>November</td>
<td>-21.2</td>
</tr>
<tr>
<td>December</td>
<td>-24.5</td>
</tr>
</tbody>
</table>

Chlorophyll

Chlorophyll (CHL, mg m^{-3}) data (Figure 10) were acquired from the Satellite Oceanography Laboratory, University of Maine (PI: Andrew Thomas) who downloads and processes Sea-viewing Wide Field-of-View Sensor (SeaWiFS) CHL data. These data included monthly composites for the time-period of 1997–2008 and were used to calculate a yearly average and range at a 855 m resolution.

Sea Surface Temperature

Sea surface temperature (SST, degrees C) data (Figure 10) were acquired from the Satellite Oceanography Laboratory, University of Maine (PI: Andrew Thomas), who downloads and processes SST data from the Advanced Very High Resolution Radiometer (AVHRR) satellite. These data include monthly composites for the 1997–2008 time-period. These data were then used to calculate the yearly average and seasonal range over the time-period. The data were available at a resolution of 972 m.

Benthic Temperature

Benthic temperature layers were derived for the time-periods 1956–1968 (Figure 11), and 1996–2007 (Figure 12). The 1956–1968 time period used data exported from the DFO Hydrographic (Climate) database for the entire water column (Gregory 2004) (n = 691 703 data records). The 1996–2007 time period used data from two sources: the NEFSC (Mountain et al. 2004) and the DFO Hydrographic (Climate) database. The NEFSC data included data 10 m from bottom taken with thermometers or Conductivity–Temperature–Depth recorders (CTD). This included data from the NEFSC Bottom Trawl Surveys, Marine Resources Monitoring Assessment and Prediction (MARMAP), Ecosystem Monitoring (EcoMon), and Global Ocean and Ecosystem Dynamics (GLOBEC) programs. Areas in the GOM that were not covered by the NEFSC data were filled in with data from the DFO Hydrographic (Climate) Database. Hydrographic database data were filtered to within 30 m of bottom depth. Duplicate records between NEFSC and BIO data were identified and removed. The final dataset consisted of 18132 data records. For both time periods, data were present for all years and all seasons with the majority of the data in summer and the least amount of data in the winter.
Optimal estimation routines were used to derive seasonal average temperature layers for each time period at a 6 km resolution, using 15, and 10 nearest neighbours for the 1956–1968 period, and 1996–2007 period, respectively. These data were then converted into a raster grid. From the seasonal averages a total average and seasonal range was calculated. The data units of these layers are degrees Celsius. The resolution of the output layers was determined by the original data density.

**Benthic Salinity**

Salinity layers (psu) were created for two time periods: 1956–1968 (Figure 13) and 1996–2007 (Figure 14). For the 1956–1968 salinity layer, data were extracted from the DFO BioChem database of biological and chemical oceanographic data (Gregory and Narayanan 2003, Fisheries and Oceans Canada 2006). Data were filtered to within 20 m of bottom and optimal estimation routines were used to derive an annual average layer at a resolution of 40 km using the 6 nearest neighbours. A total of 408 points were used. There was not enough data within each season to calculate seasonal averages or an overall seasonal range.

For the 1996–2007 time period, two sources of data were used, the NEFSC dataset and the DFO Hydrographic (Climate) Database. Where there were spatial gaps in the NEFSC data, data from the DFO Hydrographic (Climate) Database were used. Data from NEFSC were filtered to within 10 m of bottom while data from the DFO Hydrographic (Climate) Database were filtered to within 30 m of bottom. Duplicates between the two datasets were removed and the total number of salinity records was 14297. Each season had a minimum of 2000 data records. Optimal estimation was used to derive seasonal benthic salinity layers using the 10 nearest neighbors at a resolution of 6 km. The seasonal layers were then used to create total average and seasonal range benthic salinity data layers. The resolution of the output layers was determined by the original data density.

**Dissolved Oxygen**

Dissolved oxygen (Figure 15) was exported from the DFO BioChem Database for the time period 1956–1968. Values were filtered to within 20 m off bottom for a total of 330 dissolved oxygen records. Optimal estimation was used to derive an annual average benthic dissolved oxygen layer using the 6 nearest neighbours at a resolution of 40 km. There was not enough data within each season to calculate seasonal averages or an overall seasonal range. Dissolved oxygen data were not available from the GOM Region Nutrient and Hydrographic database (Rebuck et al. 2009) for the 1996–2007 time period. Data are in micromoles per litre.

**Bottom Nutrients**

**Phosphate**: Phosphate (µm) was exported from the DFO BioChem Database for the time period of 1956–1968. Values were filtered to within 20 m off bottom for a total of 196 phosphate records. Optimal estimation was used to derive an annual average benthic phosphate layer using the 6 nearest neighbours at a resolution of 40 km (Figure 16). There was not enough data within each season to calculate seasonal averages or an overall seasonal range.

Phosphate data from 1996–2007 were exported from the GRAMPUS and Hydrographic Databases (Rebuck et al., 2009) for a total of 52 833 observations, from the entire water column. Optimal estimation was used to derive an annual average benthic phosphate layer using the 15 nearest neighbours at a resolution of 6 km (Figure 17). The spatial coverage of the data was not sufficient for seasonal layers to be derived.

**Silicate**: Silicate data (µm) from 1996–2007 were exported from GRAMPUS for a total of 53 500 observations, from the entire water column. Optimal estimation was used to derive an
annual average silicate layer using the 15 nearest neighbours at a resolution of 6 km (Figure 18). The spatial coverage of the data was not sufficient for seasonal layers to be derived.

Nitrate: Nitrate data (µm) from 1996–2007 were also exported from GRAMPUS for a total of 53 500 observations, for the entire water column. Optimal estimation was used to derive an annual average nitrate layer using the 15 nearest neighbours at a resolution of 6 km (Figure 19). The spatial coverage of the data was not sufficient for seasonal layers to be derived.

Table 1. Physical environmental variables with a GOM-scale coverage used for analysis of the three regional biological datasets referred to in text. (SST = Sea Surface Temperature, K490 = Mean Diffuse Attenuation Coefficient, Stratification = density difference between 0m and 50m depth, HD = DFO Hydrographic (Climate) Database, USGS = United States Geological Service, SeaWifs = Sea-viewing Wide Field-of-view Sensor, CGS = Canadian Geological Survey, NEFSC = Northeast Fisheries Science Centre).

<table>
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<th>Variable</th>
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<td>ASPECT</td>
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Figure 5. Depth and derivatives created from the USGS 15 arc-second digital elevation model of the GOM.
Figure 6. Substrate layers of percent mud, percent gravel, and percent sand (from the USGS and CGS sediment samples). Insert maps in each figure show the density of points used to create the layers.
Figure 7. Bottom stress modeled with wind and tidal influence, and bottom stress modeled with only tidal influence. Note that the scales of the two bottom stress layers span over different ranges to emphasize areas of high stress, but at a different magnitude when wind is not included. Original data to create inset maps of bottom stress data density were not available.
**Figure 8.** Top: Yearly average and summer stratification for the 1956–1968 time-period. Inset maps in each figure show the density of points used to create the layers. Middle: Number of samples per year to create the stratification and summer stratification layers, respectively. Bottom: Number of samples per month to create the stratification and summer stratification layers, respectively.
Figure 9. Top: Yearly average and summer stratification for the 1996–2007 time-period. Inset maps in each figure show the density of points used to create the layers. Middle: Number of samples per year to create the stratification and summer stratification layers, respectively. Bottom: Number of samples per month to create the stratification and summer stratification layers, respectively.
Figure 10. Top: Average SeaWiFS K490 from 1997–2008. Middle and bottom: Average and range of chlorophyll (CHL) and sea surface temperature (SST) in the GOM from 1997–2008.
Figure 11. Top: The average and range of benthic temperature from 1956–1968. Inset maps show the density of data used to create that layer. Middle and Bottom: The number of samples used to create the benthic temperature map by year and by day of year, respectively.
Figure 12. Top: The average and range of benthic temperature from 1996–2007. Inset maps show the distribution of points used to create that layer. Middle and Bottom: The number of samples used to create the benthic temperature map by year and by day of year, respectively.
Figure 13. Top: Average benthic salinity from 1956–1968. Inset map shows the distribution of points used to create that layer. Middle and Bottom: The number of samples used to create the benthic salinity map by year and by day of year, respectively.
Figure 14. Top: Average and range of benthic salinity from 1996–2007. Inset maps show the density of points used to create that layer. Middle and Bottom: The number of samples used to create the benthic salinity map by year and by day of year, respectively.
Figure 15. Top: Average benthic dissolved oxygen from 1956–1968. Inset map shows the distribution of points used to create that layer. Middle and Bottom: The number of samples used to create the benthic oxygen map by year and by day of year, respectively.
Figure 16. Top: Average benthic phosphate from 1956–1968. Inset map shows the distribution of points used to create that layer. Middle and Bottom: The number of samples used to create the benthic phosphate map by year and by day of year, respectively.
Figure 17. Top: Average benthic phosphate from 1997–2007. Inset map shows the distribution of points used to create that layer. Middle and Bottom: The number of samples used to create the benthic phosphate map by year and by day of year, respectively.
Figure 18. Top: Average benthic silicate from 1996-2007. Insert map shows the distribution of samples used to create that layer. Middle and Bottom: The number of samples used to create the benthic silicate map by year and by day of year, respectively.
Figure 19. Top: Average benthic nitrate 1996–2007. Inset map shows the distribution of points used to create that layer. Middle and Bottom: The number of samples used to create the benthic nitrate map by year and by day of year, respectively.
DISCUSSION

A comprehensive suite of oceanographic layers for the Gulf of Maine Area has been compiled within a single geodatabase, and in xyz format, using publicly-available U.S. and Canadian oceanographic data sources. The primary driver for this effort was the Census of Marine Life’s cross-project synthesis on the role of physical variables in predicting patterns of distribution and diversity in seabed assemblages. Using Grdient Forest (multivariate Random Forests) statistical analyses (Ellis et al. 2010 In Prep), the relative importance of different environmental variables in their predictive capacity relative to observed species distributions in three large regional biological survey datasets was evaluated; however these results are outside the scope of this report (see: Pitcher et al. (In Prep)).

The preservation of the oceanographic datasets ensures quick and relatively easy access in a common and standard format, thus facilitating their use in future studies. Although large regional spatial physical datasets, similar to the ones presented in this report, have previously been collated for specific research projects on the Scotian Shelf/Western GOM (Day and Roff 2000, Roff et al. 2003, Kostylev 2004, Greene et al. 2010) they have not generally been made available in a geodatabase or other accessible formats (data from Greene et al. 2010 is available, but only includes a few of the variety of layers assembled for our analysis). Currently, many oceanographic physical layers developed for specific research programs are located on local computers or are only publicly available as images in reports. The relative inaccessibility of these types of data is currently a limitation to conducting ecosystem-based research in support of an ecosystem approach to management.

The compiled datasets presented in this report represent what was deemed the best available data for the GOM for the given time periods (1956–1968 and 1996–2007). However, there were data issues when compiling both physical and biological datasets. No single invertebrate dataset, for the contemporary time period, covered the extent of our study area; the GOM. DFO’s Ecosystem Surveys and the NMFS groundfish trawl surveys represent a source of invertebrate data; however many species of invertebrates had to be removed from the analyses when it was determined they were not consistently recorded for the 1996–2007 time period (Tremblay et al. 2007). DFO is currently attempting to consistently count all invertebrate species during the Ecosystem Surveys, however it will be many years before this dataset represents a useful invertebrate time-series (Clark 2010). Invertebrate datasets from smaller scale sampling efforts, within specific basins or banks, were available for the contemporary time-period, but could not be used because of their limited spatial extent.

The resolution of the oceanographic layers varied significantly (450 m–6 km). For those datasets that were interpolated, the spatial scale at which these layers were produced represent what was considered the highest reasonable resolution given the quantity and distribution of the data. For those data collected through point-base sampling methods (e.g. CTD, biological survey, etc), the seasonal distribution of data was patchy, with most sampling occurring in the summer months. Other data were limited temporally by the timeframe of particular programs (e.g. SeaWiFS; 1997 to present).

Many of the oceanographic layers derived from point-based sampling methods were interpolated using the OAX optimal estimation program. Unfortunately, this program does not provide any information about the inherent variance of the averages produced as layers over the temporal and spatial scales, which would have helped to determine layer suitability for subsequent analyses.
There were a number of data gaps for the oceanographic data. Sediment carbonate was not recorded in either the USGS or Canadian Geological Survey (CGS), samples but would be of interest for future biodiversity analyses since it was found to be influential in determining biodiversity patterns in other regions (Pitcher et al. In Prep). Benthic current stress was also an important factor, in the GOM and in the Great Barrier Reef (Pitcher et al. In Prep), however the spatial extent was limited and did not cover the mid and upper Bay of Fundy, Western Scotian Shelf, or Nantucket Shoals. In the CoML Cross-Project analysis, biological samples had to be removed from these areas due to the lack of benthic current stress data, limiting the study from analyzing one of the highest known current stress areas, the Bay of Fundy (Wildish et al. 1986).

Some environmental data, such as SST and CHL, were available through DFO but were not accessible in a format that could be converted to a GIS raster. These data therefore had to be acquired from the Satellite Oceanography Laboratory (University of Maine). The issue of GIS compatibility of remote sensing data is being investigated by DFO’s BIO Remote Sensing Unit. Furthermore, when available, satellite CHL data should not be used in nearshore regions and other highly turbid areas like the Bay of Fundy, as these products are known to be a combination of CHL and turbidity. This factor did not end up being important in our analysis, as Bay of Fundy points were removed due to the lack of benthic current stress data available for that region.

The low density of nutrient data from 1956–1968 prevented us from producing layers of nitrate or silicate for this time period. Phosphate data were also limited, but enough observations were available to produce a layer gridded at a very large extent of 40 km. Finally, the original density of the depth samples used to create the USGS’s digital elevation model of the GOM was not available.

The compilation of layers described in this report provides a significant amount of oceanographic data for the benthic and sea-surface environment of the GOM for historical and contemporary time periods. As management of marine resources shifts towards an ecosystem approach, the need for spatial data is expected to increase. The storage of the 31 data layers presented here in a geodatabase with associated metadata should facilitate their use in future work.
ACKNOWLEDGEMENTS

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We would like to acknowledge those who have aided in the creation of this technical report and subsequent analyses, including the many scientists that have generously provided us data: Charles Hannah and Brian Petrie for their help with oceanographic layers and the OAX optimal estimation program; Huijie Xue for bottom stress data exports from the GOOMOS Nowcast Forecast System; Don Clark for providing advice on the proper use of the DFO Ecosystem Surveys data; John Tremblay for his technical report and candid advice on using invertebrate data from the DFO Ecosystem Surveys; Richard Karsten and David Wildish for their information on high current stress areas in the GOM; David Mountain for bottom temperature data; Scott Ryan for advice on DFO’s nutrient data.

We would also like to thank the two internal DFO reviewers (Glen Harrison and Jerry Black) the library reviewer (Charlotte McAdam) and Fred Page for their thoughtful and constructive comments.

REFERENCES


